DISTRICT 1 SMALL BUSINESS UPDATE

Matthew Brady, District Director, (707) 445-6445

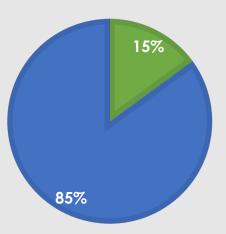


January 2021

District 1 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$42,959,037



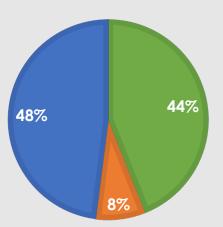


PROCUREMENT TOTAL \$23,110,318

■ SB 44%

■ DVBE 8%

■ Non-SB/DVBE 48%



ONGOING CONSTRUCTION CONTRACTS

District 1 has **59** ongoing construction contracts, valued at **\$501,409,217** as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 1 federally-funded major construction contracts for Federal Fiscal YTD: October 2020 to November 2020:

Awarded contracts: 6, totaling \$42,959,037

Total DBE commitment: \$6,551,175 (15%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 2, totaling \$7,500,000

Total DBE commitment: \$1,080,000 (14%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of **\$23,110,318**.

Small Business (SB) participation 44%

Disabled Veteran Business Enterprise (DVBE) participation 8%

Contracts — total awarded: \$21,158,113

SB participation: \$9,630,639 (46%)

DVBE participation: \$1,753,289 (8%)

Procurement — total awarded: \$1,952,205

SB participation: \$513,421 (**26%**)

DVBE participation: \$7,681 (**0.4%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

District 1 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of January 5, 2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
01-0F430	Del Norte 199 Collier Tunnel Electrical Upgrade	N	\$3,976,000	01/27/21
01-48802	Del Norte 199 Fish Passage and Culvert Rehabilitation	Υ	\$3,527,000	03/04/21
01-36600	Humboldt 101 4-Lane Facility Upgrade	N	\$48,091,000	03/15/21
01-0G210	Del Norte 101 Permanent Restoration at Cushing Creek	N	\$7,525,000	03/17/21

CALMENTOR (Small Business A&E mentoring program Districts 1, 2, and 3)

• Next Calmentor Steering Committee meeting is scheduled for Wednesday January 20, 2021 via teleconference.

OUTREACH

- January 19-21, 26-28, 2021 North Region Contractor's Boot Camp
 - Over the course of two weeks the region's construction firms are invited to attend a series of online workshops, presentations, and Q&A panels with industry experts, Caltrans professionals, and reps from contractor's who've worked with Caltrans to get all the information they need to successfully work with our department.
 - Sessions Topics will include an introduction to public contracting, contracting tips, finding contracting & bidding opportunities online, Caltrans & DBE labor compliance, and advice on executing awarded contracts.
- February/March 2021 (Date TBD) Annual AGC & Caltrans Summit
 - o This annual gathering of Caltrans staff and AGC members will be a chance for both sides to discuss issues candidly and to voice concerns that contractors may have working with the department. A broad overview of our small business program will be presented as well as questions answered.

DISTRICT 2 SMALL BUSINESS UPDATE

Dave Moore, District Director, (530) 225-3477



January 2021

District 2 DBE, SB, and DVBE Participation at a glance:

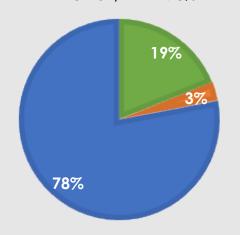
FEDERAL MAJOR
CONSTRUCTION
CONTRACTS
TOTAL SO

■ DBE 0% ■ Non-DBE 0%

0%

STATE CONTRACTS AND PROCUREMENT TOTAL \$20,467,827

- SB 19%
- DVBE 3%
- Non-SB/DVBE 78%



ONGOING CONSTRUCTION CONTRACTS

District 2 has **38** ongoing construction contracts, valued at **\$411,208,055** as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 2 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to November 30, 2020:

Awarded contracts: **0**, totaling **\$0**

Total DBE commitment: \$0 (0%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 2, totaling \$7,500,000

Total DBE commitment: \$1,080,000 (14%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of **\$20,467,827**.

Small Business (SB) participation 19%

Disabled Veteran Business Enterprise (DVBE) participation 3%

Contracts — total awarded: \$18,153,258

SB participation: \$2,788,270 (**15%**)

DVBE participation: \$416,275 (**2%**)

Procurement — total awarded: \$2,314,569

SB participation: \$1,170,387 (**51%**)

DVBE participation: \$251,394 (**11%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-

info.html

District 2 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of December 29, 2020)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
02-4G440	Bridge Replacement near Fort Jones in Siskiyou County	Υ	\$6,847,000	1/25/21
02-1H790	Install Rock Fall Fence at Various locations on Route 70 and Route 89 in Plumas County	N	\$1,980,000	4/05/21
02-4H440	Repair Rock Slope Protection and stabilize roadway embankment at various locations in Plumas County	Z	\$17,249,000	04/29/21
02-1H470	Improve clear recovery zone near Red Bluff in Tehama County	Z	\$4,675,000	06/14/21

CALMENTOR (Small Business A&E mentoring program Districts 1,2 &3)

Calmentor Steering Committee was held on 12/16/20 via teleconference

OUTREACH

- December 17, 2020 Outreach with SBDC as a guest speaker
- January 19-21, 2021- North Region Contractors Boot Camp Virtual Event, first 3 sessions
- January 26-28, 2021 North Region Contractors Boot Camp, final 3 sessions
- This event includes a series of 6 sessions with valuable information for Prime and Sub Contractors interested in working on Caltrans projects. We will kick off this event with our featured speakers' session which includes our North Region District Directors and a contracting tips Q&A panel.
- Presentation Topics Include:
- Introduction to Public Works Contracting
- Find Caltrans Contracting & Bid Opportunities
- Caltrans Labor Compliance
- Caltrans DBE Compliance Before and During Construction
- Estimating & Bidding the Basics & You have a Contract Now What Q&A Panel
- This is the registration link for our North Region Contractors Boot Camp: http://events.r20.constantcontact.com/register/event?llr=uaj7ek7ab&oeidk=a07ehg16bce3288f2d9

DISTRICT 3 SMALL BUSINESS UPDATE

Amajeet Benipal, District Director, (530) 741-4233

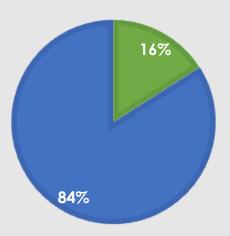


January 2021

District3 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$7,607,737

■ DBE 16% ■ Non-DBE 84%

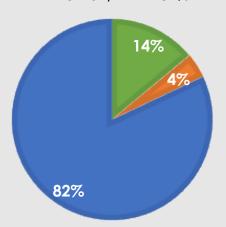


STATE CONTRACTS AND PROCUREMENT TOTAL \$31,852,491

■ SB 14%

DVBE 4%

Non-SB/DVBE 82%



ONGOING CONSTRUCTION CONTRACTS

District 3 has **70** ongoing construction contracts, valued at **\$1,532,226,713** as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 3 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to November 30, 2020:

Awarded contracts: 1, totaling \$7,607,737

Total DBE commitment: \$1,192,625 (**16%**)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 2, totaling \$7,500,000

Total DBE commitment: \$1,080,000 (14%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of \$31,852,491.

Small Business (SB) participation 14%

Disabled Veteran Business Enterprise (DVBE) participation 4%

Contracts — total awarded: \$27,709,853

SB participation: \$1,594,817 (**6%**)

DVBE participation: \$180,802 (1%)

Procurement — total awarded: \$4,142,639

SB participation: \$2,774,994 **(67%)**

DVBE participation: \$1,140,921 (28%)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

District 3 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of January 6, 2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
03-1H820	Various Counties - Install fiber optic cable in and near Rancho Cordova and Folsom, from Hazel Ave to the county line; and near El Dorado Hills, from the county line to 0.1 mile east of White Rock Rd.	Y	\$5,315,000	1/18/2021
03-2H240	Butte County - Intersection improvements in the City of Chico on State Route 32 at the intersection of West Sacramento Ave (West) and West Sacramento Ave (East).	Z	\$3,394,000	2/16/2021
03-0H210	Nevada County - Rehabilitate drainage systems near Higgins Corner, from the Placer County line to 0.3 mile north of Lime Kiln Rd.	Y	\$2,021,000	3/8/2021
03-1Н030	Placer County - Rehabilitate drainage systems near Magra, from Secret Town Overcrossing to the Gold Run Safety Roadside Rest Area (SRRA).	Y	\$2,942,000	3/8/2021

CALMENTOR (Small Business A&E mentoring program – Districts 1, 2, and 3)

• Next Calmentor North Region Steering Committee teleconference is scheduled for January 20, 2021 at 9:00 am.

OUTREACH

- November 10, 12, 17-19, 2020 North Region Virtual Procurement Fair Districts 1, 2, and 3 hosted this virtual event that provided a variety of opportunities for small businesses to connect with our procurement officers, promote the Small Business Program and provide informational sessions on procurement methods and contract opportunities.
- December 1-2, 2020 CPUC/Joint Utilities Virtual Small/Diverse Business Expo Caltrans participated in virtual networking and shared procurement and contracting opportunities with local small businesses.
- January 19-21, 26-28, 2021 North Region Virtual Contractor's Bootcamp Districts 1, 2, and 3 will host this virtual event to provide educational information to local and statewide construction contractors, provide insight into Caltrans contracting process and provide an opportunity for attendees to get their questions answered by department officials and industry veterans.
- February 25, 2021 Quarterly SacPAC Forum Caltrans will partner with Sacramento Municipal Utilities District (SMUD), Dept. of General Services (DGS), Sacramento County and the Small Business Administration (SBA) to provide a virtual forum on how to find upcoming contracting opportunities with government agencies. The agencies will provide a demonstration on how to navigate their website.

Visit District 3's Small Business website at: https://dot.ca.gov/caltrans-near-me/district-3/d3-popular-links/d3-small-business

Small Business Liaison: Robynn Spence, <u>D3.Small.Business@dot.ca.gov</u>, (530) 741-4222

DISTRICT 4 SMALL BUSINESS UPDATE

Dina-El-Tawansy, Acting District Director, (510) 286-5906

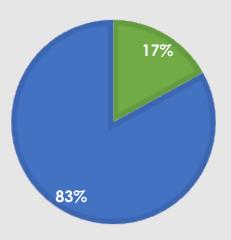


January 2021

District4 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$28,521,818

■ DBE 17% ■ Non-DBE 83%

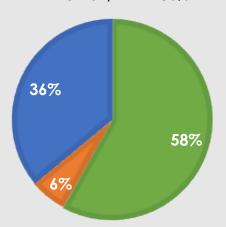


STATE CONTRACTS AND PROCUREMENT TOTAL \$32,068,063

■ SB 58%

DVBE 6%

■ Non-SB/DVBE 36%



ONGOING CONSTRUCTION CONTRACTS

District 4 has 117 ongoing construction contracts, valued at \$1,475,876,170 as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 4 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to November 30, 2020:

Awarded contracts: 4, totaling \$28,521,818

Total DBE commitment: \$4,745,347 (17%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 4 federally-funded A&E contracts for Federal Fiscal

YTD: October 1, 2020 to Current:

Awarded contracts: 0, totaling \$0

Total DBE commitment: \$0 (**0%**)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of **\$32,068,063**.

Small Business (SB) participation 58%

Disabled Veteran Business Enterprise (DVBE) participation 6%

Contracts — total awarded: \$28,016,076

SB participation: \$16,985,760 (**61%**)

DVBE participation: \$1,435,467 (5%)

Procurement — total awarded: \$4,051,987

SB participation: \$1,504,201 (**37%**)

DVBE participation: \$494,016 (**12%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

District 4 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of December 28, 2020)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
04-26472	Redwood landfill interchange modification.	N	\$92,652,000	01/28/2021
04-4J030	Inject foundation grout, construct rock slope protection, and install drainage system.	N	\$1,150,000	03/25/2021
04-4G080	Convert existing HOV lane to express lane PM11.2/20.1 & Const. new express lane PM20.1/29.3	N	\$101,000,000	08/11/2021
04-1G43A	Environmental mitigation & plant establishment for Conn Creek Bridge replacement.	N	\$220,000	08/12/2021

CALMENTOR (Small Business A&E mentoring program- District 4

Next Calmentor quarterly meeting is scheduled for January 28, 2021 via WebEx.

OUTREACH

- December 15, 2020- District 4 SBC Meeting
- January 19, 2020- Kiewit Bonding Workshop
- February 3, 2021- Project 04-1J9704 Mandatory Pre-Bid Meeting
- February 4, 2021- Project 04-2J801 Mandatory Pre-Bid Meeting
- February 9-10, 2021- Caltrans Contractors Boot Camp Series
- February 16, 2021- District 4 SBC Meeting
- February 25, 2021- Project 04-2J802 Mandatory Pre-Bid Meeting
- March 11, 2021- Best Practices for Understanding Caltrans Contracts Webinar