DISTRICT 9 SMALL BUSINESS UPDATE

Ryan Dermody, District Director, (760) 872-0602



January 2021

District DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR
CONSTRUCTION
CONTRACTS
TOTAL SO

■ DBE 0% ■ Non-DBE 0%

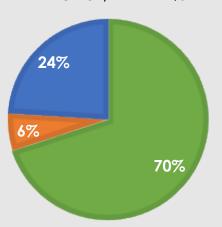
0%

STATE CONTRACTS AND PROCUREMENT TOTAL \$4,951,759

■ SB 70%

DVBE 6%

Non-SB/DVBE 24%



ONGOING CONSTRUCTION CONTRACTS

District 9 has 6 ongoing construction contracts, valued at \$61,665,800 as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 9 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to November 30, 2020:

Awarded contracts: **0**, totaling **\$0**

Total DBE commitment: \$0 (0%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 9 federally-funded A&E contracts for Federal Fiscal

YTD: October 1, 2020 to Current:

Awarded contracts: 0, totaling \$0

Total DBE commitment: \$0 (0%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of **\$4,951,759**.

Small Business (SB) participation 70%

Disabled Veteran Business Enterprise (DVBE) participation 6%

Contracts — total awarded: \$3,320,505

SB participation: \$2,766,781 (**83%**)

DVBE participation: \$269,646 (8%)

Procurement — total awarded: \$3,747,240

SB participation: \$1,229,873 (**33%**)

DVBE participation: \$293,227 (**8%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

A&E: https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information

District 9 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of November 4, 2020)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
09-36770	Remove & replace end treatments, guardrail & delineators	Ν	\$2,831,000	02/08/2021
09-38720	.15 ft. hot mix asphalt overlay	Υ	\$950,000	03/01/2021
09-36660	Improve most frequently used chain control turnouts	Z	\$3,560,000	03/22/2021
09-38790	.15 ft. hot mix asphalt thin overlay	Y	\$4,400,000	04/15/2021
09-21340	Construct 4 Lane Expressway	Z	\$80,978,000	05/12/2021

CALMENTOR (Small Business A&E mentoring program)

 District 09 does not currently have a Calmentor Program, but virtual options are being researched.

OUTREACH

- Caltrans Districts 4, 5, 9 and 10 are collaborating with CPM Logistics and industry
 partners to present a series of webinars for contractors beginning in January of
 2021. Topics include bonding, estimating, labor compliance and more. Registration
 links and information will be shared via the Caltrans Office of Civil Rights events
 calendar.
- District 9 continues to reach out to local businesses that were previously certified to assist with renewal.
- District 9 continues to reach out to Chambers of Commerce and other local business organizations across our District to work directly with them to share upcoming classes and offer information for their newsletters, virtual meetings and outreach venues to share vital information about opportunities for certification.
- Follow District 9 Facebook at https://twitter.com/Caltrans9 to get the latest updates for our activities.

DISTRICT 10 SMALL BUSINESS UPDATE

Dennis T. Agar, District 10 Director, (209) 942-6015

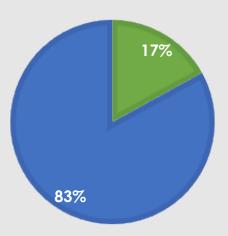


January 2021

District 10 DBE, SB, and DVBE Participation at a glance:

> **FEDERAL MAJOR** CONSTRUCTION CONTRACTS TOTAL \$31,359,078

■ DBE 17% ■ Non-DBE 83%

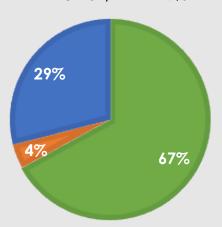


STATE CONTRACTS AND **PROCUREMENT** TOTAL \$16,173,023

■ SB 67%

DVBE 4%

Non-SB/DVBE 29%



ONGOING CONSTRUCTION CONTRACTS

District 10 has 38 ongoing construction contracts, valued at \$226,227,916 as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 10 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to November 30, 2020:

Awarded contracts: 5, totaling \$31,359,078

Total DBE commitment: \$5,436,730 (17%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 5, 6 and 10 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 2, totaling \$13,101,379

Total DBE commitment: \$3,660,872 (39%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of \$16,173,023.

Small Business (SB) participation 67%

Disabled Veteran Business Enterprise (DVBE) participation 4%

Contracts — total awarded: \$14,532,522

SB participation: \$10,286,084 (**71%**)

DVBE participation: \$317,420 (**2%**)

Procurement — total awarded: \$1,640,501

SB participation: \$520,567 (**32%**)

DVBE participation: \$269,954 (17%)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractorinfo.html

A&E: https://dot.ca.gov/programs/procurement-andcontracts/ae-contract-information

District 10 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of January 15, 2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
10-46210	Bridge Preventive Maintenance (TUO)	Υ	\$18.1M	3/15/2021
10-1G990	Repair Traffic Monitoring Stations (SJ)	Υ	\$2.3M	3/17/2021
10-0\$740	Culvert Replacement (SJ)	Υ	\$4.2M	3/22/2021
10-1C810	Bridge Preventative Maint. (MER)	Υ	\$950K	3/25/2021

CALMENTOR (Small Business A&E mentoring program District 5,6,10)

• The next virtual Calmentor Quarterly Meeting is March 1, 2021 from 1:00-2:30pm via Webex.

OUTREACH

- 1/12/2021 Estimating Workshop for Contractors: Participants who attend this
 webinar will learn about best practices for estimating on Caltrans projects. Granite
 Construction will present information on: How to find contract opportunities for
 contracting, How to review the bid items list to find work your company can
 perform, How to create a competitive bid, What contractors are looking for while
 answering potential contractor questions.
- 1/19/2021 Bonding Workshop: Participants will learn how to expand business opportunities by participating in public works contracts. This event will be in partnership with Kiewit Construction and CPM Logistics providing an in-depth look at bonding.
- 1/26/2021 Construction Quarterly Outreach: The Office of Small Business will partner with Project Management and D-10 Construction, to showcase some of our upcoming quarterly projects to potential Prime and Subcontractors.
- 2/9/2021 Contractors Bootcamp: Opening remarks for this year's Central Region combined event will include Caltrans Director Toks Omishakin and District 10 Director Dennis T. Agar, sessions to be covered include a Bonding Workshop, Meet the Primes event, Estimating Workshop and Labor Compliance overview.
- 2/25/2021 San Joaquin Public Agency Consortium Purchasing Event: This virtual
 event will highlight opportunities on how to do business with State, County and local
 government entities, included in this year's outreach includes San Joaquin County,
 City of Stockton, Monterey Bay PTAC, Caltrans District 10, Small Business
 Development Center (SBDC) and Department of General Services (DGS).

DISTRICT 11 SMALL BUSINESS UPDATE

Gustavo Dallarda, District Director, (619) 688-6668

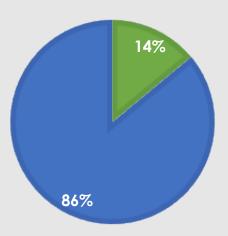


January 2021

District 11 DBE, SB, and DVBE Participation at a glance:

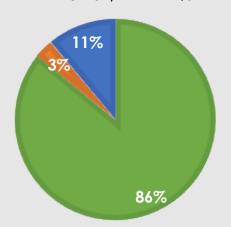
FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$21,938,813

■ DBE 14% ■ Non-DBE 86%



STATE CONTRACTS AND PROCUREMENT TOTAL \$ 32,966,309

- SB 86%
- DVBE 3%
- Non-SB/DVBE 11%



ONGOING CONSTRUCTION CONTRACTS

District 11 has 46 ongoing construction contracts, valued at \$1,080,565,576 as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 11 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to November 30, 2020:

Awarded contracts: 3, totaling \$21,938,813

Total DBE commitment: \$3,003,948 (14%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 11 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 2, totaling \$11,000,000

Total DBE commitment: \$2,190,000 (20%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of \$32,966,309.

Small Business (SB) participation 86%

Disabled Veteran Business Enterprise (DVBE) participation 3%

Contracts — total awarded: \$31,544,833

SB participation: \$27,446,485 (**87%**)

DVBE participation: \$858,045 (3%)

Procurement — total awarded: \$1,451,476

SB participation: \$1,027,763 (**71%**)

DVBE participation: \$58,814 (**4%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

A&E: https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information

District 11 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of January 4, 2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
11-427504	Install fiber optic cable and point vehicle detection field elements 10% DBE Requirement (Various locations in San Diego and Imperial County)	Y	\$23.6 M	12/21/20 (Bids Open 2/3/21)
11-42880	Conversion from potable water to Recycled Water for Roadside Landscape Irrigation	N	\$7 M	TBD (Projected early 2021)
11-41220	Roadside Worker Safety	N	\$2.7 M	TBD (Projected early 2021)
11-43001	Install High Tension Cable Barrier (HTCB) on Shoulder	N	\$5.9 M	TBD (Projected early 2021)

CALMENTOR (Small Business A&E mentoring program District 11)

- The Steering Committee last met on December 2, 2020 to review the second round
 of Progress Reports. The next Steering Committee meeting is scheduled for February
 17, 2021 as the current cycle ends March 2021. The agenda will include discussion
 on coordinating a virtual graduation ceremony anticipated for June 2021.
- The next cycle is expected to begin in the latter end of 2021, with a projected Kickoff meeting scheduled to occur in October 2021.

OUTREACH

- January 20, 2021 How to Do Business with Caltrans District 11 Workshop (Webex)
- February 17, 2021 How to Do Business with Caltrans District 11 Workshop 2.0 -Certifications (Webex)
 - 9 AM Along with the Office of Civil Rights (OCR), we will highlight the Disadvantaged Business Enterprise (DBE) certification discussing the certification program, eligibility, benefits, best tips, requirements needed to apply, and navigating the system.
 - 1 PM This session will discuss similar topics but highlighting the Small Business (SB) and Disabled Veteran Business Enterprise (DVBE) certifications as we partner with the Department of General Service (DGS) to deliver this

Visit District 11's Small Business website at: https://dot.ca.gov/caltrans -near-me/district-11/popular-links/small-business-program

DISTRICT 12 SMALL BUSINESS UPDATE

Ryan Chamberlain, District Director, (657) 328-6000

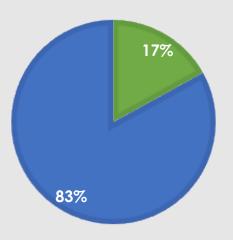


January 2021

District 12 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$17,835,000

■ DBE 17% ■ Non-DBE 83%

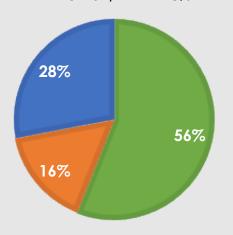


STATE CONTRACTS AND PROCUREMENT TOTAL \$13,280,670

■ SB 56%

■ DVBE 16%

■ Non-SB/DVBE 28%



ONGOING CONSTRUCTION CONTRACTS

District 12 has **56** ongoing construction contracts, valued at **\$651,320,176** as of December 29, 2020.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 12 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to November 30, 2020:

Awarded contracts: 2, totaling \$17,835,000

Total DBE commitment: \$3,004,942 (17%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 12 federally-funded A&E contracts for Federal Fiscal

YTD: October 1, 2020 to Current:

Awarded contracts: 4, totaling \$20,371,243

Total DBE commitment: \$3,754,501 (18%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 1st Quarter State fiscal year has reached a total of \$13,280,670.

Small Business (SB) participation 56%

Disabled Veteran Business Enterprise (DVBE) participation 16%

Contracts — total awarded: \$12,749,110

SB participation: \$7,156,502 (**56%**)

DVBE participation: \$2,107,975 (**17%**)

Procurement — total awarded: \$531,560

SB participation: \$212,664 (**40%**)

DVBE participation: \$52,678 (**10%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

A&E: https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information

District 12 Small Business Update, January 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of January 4, 2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
12-0P94U4	Scope: Roadway widening, restriping and drainage work	N	\$9,600,000	11/30/20

CALMENTOR (Small Business A&E mentoring program – Districts 7, 8 and 12)

• The date for the next Calmentor event is TBD.

OUTREACH

- 11/05/20: Small Business 1:1 Outreach Meeting | DLGraphics
- 11/12/20: 2020 Virtual Insurance Diversity Summit
- 11/13/20: 2020 Virtual Insurance Diversity Summit
- 12/01/20: Small Business 1:1 Outreach Meeting | High Speed Logistics
- 12/02/20: Small Business 1:1 Outreach Meeting | Drinkbrandyforcold, LLC
- 12/09/20: Arizona DOT Building for Success

Visit District 12's Small Business website at:

https://dot.ca.gov/caltrans-near-me/district-12/district-12-programs/district-12-small-business

Small Business Liaison: Mirley Vargas (mirley.vargas@dot.ca.gov)