

DISTRICT 1 SMALL BUSINESS UPDATE

Matthew Brady, District Director, (707) 445-6445

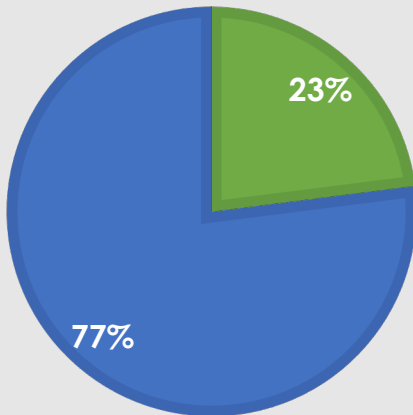


May 2021

District 1 DBE, SB, and DVBE Participation at a glance:

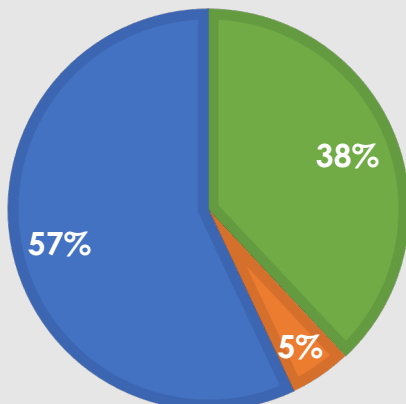
FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$58,340,090

■ DBE % ■ Non-DBE %



STATE CONTRACTS AND PROCUREMENT TOTAL \$36,302,615

■ SB 38%
■ DVBE 5%
■ Non-SB/DVBE 57%



ONGOING CONSTRUCTION CONTRACTS

District 1 has **56** ongoing construction contracts, valued at **\$490,433,798** as of May 3, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 1 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to March 31, 2021:

Awarded contracts: **8**, totaling **\$58,340,090**

Total DBE commitment: \$13,158,616 (**23%**)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: **3**, totaling **\$10,700,000**

Total DBE commitment: \$1,496,000 (**13%**)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd quarter State fiscal year has reached a total of **\$36,302,615**.

Small Business (SB) participation **38%**

Disabled Veteran Business Enterprise (DVBE) participation **5%**

Contracts — total awarded: \$33,274,334

SB participation: \$12,477,797 (**38%**)

DVBE participation: \$1,784,112 (**5%**)

Procurement — total awarded: \$3,028,281

SB participation: \$1,203,968 (**40%**)

DVBE participation: \$84,971 (**3%**)

LOOK AHEAD REPORTS

Construction: <http://ppmoe.dot.ca.gov/des/oe/contractor-info.html>

A&E: <https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information>

District 1 Small Business Update, May 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of May 7, 2021)

| Contract Number | Description | SB 1? (Y/N) | Capital Estimate | Advertise Date |
|-----------------|--|-------------|------------------|----------------|
| 01-0E820 | Lake Co. Transportation Management System Upgrades (Various Routes) | Y | \$2,008,000 | 06/23/2021 |
| 01-0E830 | District Transportation Management System Upgrades (Various Counties & Routes) | Y | \$2,548,000 | 06/23/2021 |
| 01-0F160 | Humboldt 36 Horizontal & Vertical Curve Improvements | N | \$2,859,000 | 06/23/2021 |
| 01-0F820 | Humboldt 101 Capital Preventative Maintenance Project | N | \$39,383,000 | 06/23/2021 |

OUTREACH

- May 11 & 12, 2021 – North Region Virtual Meet the Primes: Construction and A&E
 - Attendees had an opportunity to meet prime contractors who have been awarded Caltrans contracts in Northern California, gain insights about what these contractors look for when evaluating bids from subs, learn about best practices for preparing quotes and responding to solicitations, and network with these established contractors. These panels also included a Q&A session with these prime contractor representatives.
 - Sessions had 26 & 34 participating small businesses respectively, with 71% of attendees who responded to post-event polling stating they felt better prepared to bid on future Caltrans projects. 100% of attendees who responded to post-event polling also stated that they had a better understanding about the needs of Prime-Sub partnerships, and 95% indicated they would recommend this outreach event to colleagues or industry partners.
- May 19 & 20, 2021 – Connecting Point Expo 2021
 - Virtual attendees to this asynchronous event were able to peruse information about participating agencies, including resources, outreach materials, links to aids, and contact for follow-up with their local advocates. In partnership with the Sacramento Public Agency Consortium (SacPAC).
- August 2021 – How to Do Business with Caltrans Virtual Workshops
 - Additional details coming soon.
- November 2021 – North Region Procurement Fair
 - Additional details coming soon.

CALMENTOR (Small Business A&E mentoring program Districts 1, 2, and 3)

- Next Calmentor North Region Steering Committee WebEx Meeting is scheduled for June 9, 2021 at 9:00am.

Visit District 1's Small Business website at: <https://dot.ca.gov/caltrans-near-me/district-1/d1-popular-links/d1-small-business>

Small Business Liaison: Aaron Morgan, aaron.morgan@dot.ca.gov, (707) 296-4063

DISTRICT 2 SMALL BUSINESS UPDATE

Dave Moore, District Director, (530) 225-3477

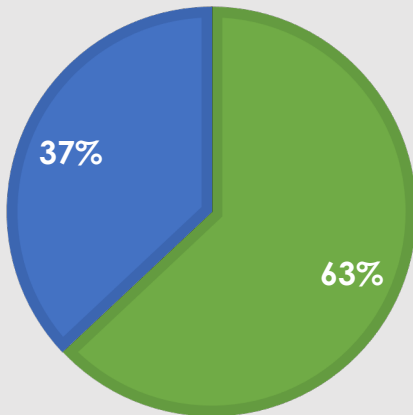


May 2021

District 2 DBE, SB, and DVBE Participation at a glance:

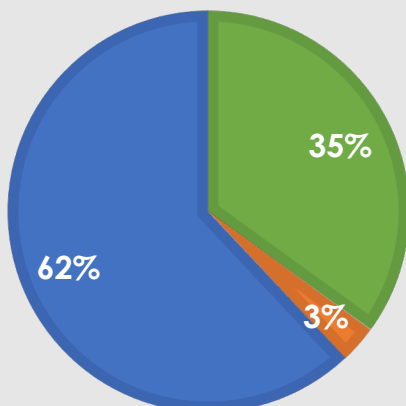
FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$11,977,420

■ DBE % ■ Non-DBE %



STATE CONTRACTS AND PROCUREMENT TOTAL \$63,305,464

■ SB 35%
■ DVBE 3%
■ Non-SB/DVBE 62%



ONGOING CONSTRUCTION CONTRACTS

District 2 has **52** ongoing construction contracts, valued at **\$428,995,094** as of May 3, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 2 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to March 31, 2021:

Awarded contracts: **1**, totaling **\$11,977,420**

Total DBE commitment: \$7,599,900 (**63%**)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: **3**, totaling **\$10,700,000**

Total DBE commitment: \$1,496,000 (**13%**)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd Quarter State fiscal year has reached a total of **\$63,305,464**.

Small Business (SB) participation **35%**

Disabled Veteran Business Enterprise (DVBE) participation **3%**

Contracts — total awarded: \$59,042,014

SB participation: \$19,751,816 (**34%**)

DVBE participation: \$1,699,995 (**3%**)

Procurement — total awarded: \$4,263,450

SB participation: \$2,095,938 (**49%**)

DVBE participation: \$262,757 (**6%**)

LOOK AHEAD REPORTS

Construction: <http://ppmoe.dot.ca.gov/des/oe/contractor-info.html>

A&E: <https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information>

District 2 Small Business Update, May 2021

| LOOK AHEAD CONSTRUCTION PROJECTS (As of May 5, 2021) | | | | |
|--|---|-------------|------------------|----------------|
| Contract Number | Description | SB 1? (Y/N) | Capital Estimate | Advertise Date |
| 02-1H470 | Improve clear recovery zone near Red Bluff in Tehama County | N | \$4,661,000 | 06/14/2021 |
| 02-1H510 | Bridge replacement near Los Molino's in Tehama County | Y | \$3,720,000 | 07/12/2021 |
| 02-4F520 | Improve clear recovery zone at various locations in Siskiyou County | N | \$3,771,000 | 08/02/2021 |
| 02-1H720 | ADA improvements in Redding, Shasta County | N | \$2,580,000 | 10/28/2021 |

CALMENTOR (Small Business A&E mentoring program for Districts 1, 2, & 3)

- Next Calmentor North Region Steering Committee WebEx Meeting is scheduled for June 9, 2021 at 9:00am.

OUTREACH

- **03/30/2021** – Attended OCR's first ever Statewide DBE Summit, with roughly 500 attendees attending the entire event
- **04/28/21** – Participated as an exhibitor in the CPUC/Joint Utilities Virtual Small/Diverse Business Expo – 990 businesses attended this event
- **05/11/21** – Hosted our Virtual North Region(D1, D2 & D3)Meet the Primes Construction Virtual Event – **68** registered and **26** attended this event, more than half of the registrants were new to attending a Caltrans outreach event, 63% of the attendees who responded to our poll would recommend this event to industry partners or colleagues
- **05/12/21** – Hosted our Virtual North Region(D1, D2 & D3)Meet the Primes A&E Virtual Event - **57** registered for this event and **34** attended this event, more than half of the registrants were new to attending a Caltrans outreach event, 65% of the attendees who responded to our poll would recommend this event to industry partners or colleagues – panelists from both events offered great information, the attendees appreciated this informative event and were thankful that we took the time to host these 2 events, below are the links to the recordings for both events
- **5/11/21 Construction Recording:**
<https://cadot.webex.com/cadot/lsr.php?RCID=d58729ca912b4775824114fcff1fb696>
- **5/12/21 A&E Recording:**
<https://cadot.webex.com/cadot/lsr.php?RCID=8aded4b6ae34e5aaf07f0a52ad2373f>
- The passwords to access both recordings “NRMTPrimes2021”
- **D1, D2 & D3** will be hosting our annual North Region Procurement Fair coming up in November 2021

Visit District 2's Small Business website at: <https://dot.ca.gov/caltrans-near-me/district-2/d2-programs/d2-small-business>

Small Business Liaison: Patricia Silva, patricia.silva@dot.ca.gov, (530)-338-4411

DISTRICT 3 SMALL BUSINESS UPDATE

Amarjeet Benipal, District Director, (530) 741-4233

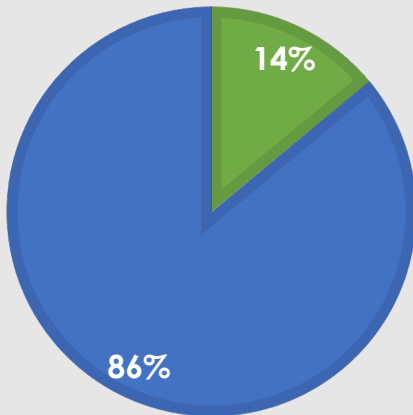


May 2021

District 3 DBE, SB, and DVBE Participation at a glance:

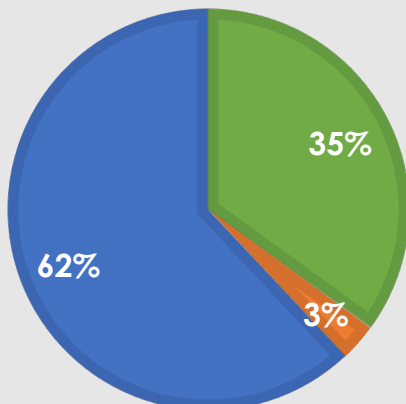
FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$48,283,686

■ DBE % ■ Non-DBE %



STATE CONTRACTS AND PROCUREMENT TOTAL \$56,422,314

■ SB 35%
■ DVBE 3%
■ Non-SB/DVBE 62%



ONGOING CONSTRUCTION CONTRACTS

District 3 has **74** ongoing construction contracts, valued at **\$1,572,110,967** as of May 3, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 3 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to March 31, 2021:

Awarded contracts: **9**, totaling **\$48,283,686**

Total DBE commitment: \$6,557,293 (**14%**)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: **3**, totaling **\$10,700,000**

Total DBE commitment: \$1,496,000 (**13%**)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd Quarter State fiscal year has reached a total of **\$56,422,314**.

Small Business (SB) participation **35%**

Disabled Veteran Business Enterprise (DVBE) participation **3%**

Contracts — total awarded: \$48,008,584

SB participation: \$14,441,572 (**30%**)

DVBE participation: \$534,279 (**1%**)

Procurement — total awarded: \$8,413,730

SB participation: \$5,311,487 (**63%**)

DVBE participation: \$1,284,821 (**15%**)

LOOK AHEAD REPORTS

Construction: <http://ppmoe.dot.ca.gov/des/oe/contractor-info.html>

A&E: <https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information>

| LOOK AHEAD CONSTRUCTION PROJECTS (As of May 5, 2021) | | | | |
|--|--|-------------|------------------|----------------|
| Contract Number | Description | SB 1? (Y/N) | Capital Estimate | Advertise Date |
| 03-3H330 | Various Co – Install Transportation Mgmt System (TMS) field elements on Rte 50 from Jefferson Blvd to 1.3 miles east of Folsom Blvd. | Y | \$31,601,000 | 05/13/21 |
| 03-1H100 | Sacramento Co – Clean and paint steel bridges from the Sac River Viaduct (Pioneer Bridge) to 4 th St; also, on Rte 5 from 0.2 mile south of Broadway to S St. | Y | \$24,503,000 | 05/17/21 |
| 03-2H620 | Nevada Co – Curve improvement and shoulder widening near Emigrant Gap, from 0.3 mile west of Excelsior Point Rd to 1.3 mile west of Zeibrighr Rd. | N | \$27,480,000 | 06/23/21 |
| 03-3F060 | Glenn Co – Replace Sac River Br (Br#11-0017) and viaduct approach at Butte City, from Rte 45 to 0.1 mile east of McDougall St. | Y | \$73,605,000 | 06/23/21 |

CALMENTOR (Small Business A&E mentoring program – Districts 1, 2, and 3)

- Next Calmentor North Region Steering Committee WebEx Meeting is scheduled for June 9, 2021 at 9:00am.

OUTREACH

- **May 11-12, 2021** – North Region Virtual Meet the Primes – Caltrans Districts 1, 2, and 3 hosted this virtual event with an opportunity for the Small Business community to engage with Prime Construction Contractors and Prime A&E Consultants who have been awarded Caltrans contracts in Northern California.
 - We had 26 small business attendees at the Construction event on May 11th and 34 small business attendees at the A&E event on May 12th. In the post event polling, 71% of attendees indicated they felt better prepared to bid on future Caltrans projects, 100% of attendee responds stated they had a better understanding about Prime-Sub partnership needs, and 95% indicated they would recommend this outreach event to colleagues or industry partners.
- **May 19-20, 2021** – 2021 Virtual Connecting Point – Caltrans partnered with Sacramento Municipal Utilities District (SMUD), Dept. of General Services (DGS), Sacramento County and the Small Business Administration (SBA) to host the annual event connecting Small Businesses with Procurement Officials and Small Business Advocates for Federal, State, City, County, and Public Agencies.
- **June 3, 2021** – Mandatory Pre-Bid for Rock Retention Fencing on Placer 80 (03-0J880). This project is estimated at \$1,790,000.

Visit District 3's Small Business website at: <https://dot.ca.gov/caltrans-near-me/district-3/d3-popular-links/d3-small-business>

Small Business Liaison: Robynn Spence, D3.small.business@dot.ca.gov, (530) 720-1687

DISTRICT 4 SMALL BUSINESS UPDATE

Dina El-Tawansy, District Director, (510) 286-5906

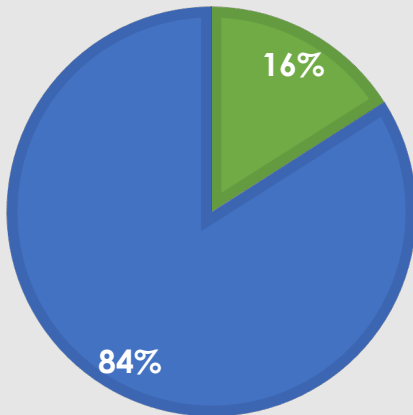


May 2021

District 4 DBE, SB, and DVBE Participation at a glance:

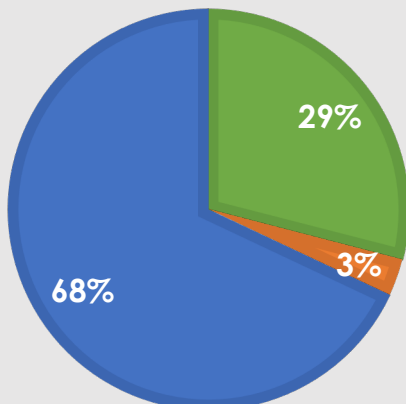
FEDERAL MAJOR CONSTRUCTION CONTRACTS
TOTAL \$123,635,702

■ DBE % ■ Non-DBE %



STATE CONTRACTS AND PROCUREMENT
TOTAL \$136,605,236

■ SB 29%
■ DVBE 3%
■ Non-SB/DVBE 68%



ONGOING CONSTRUCTION CONTRACTS

District 4 has **124** ongoing construction contracts, valued at **\$1,791,176,980** as of May 3, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 4 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to March 31, 2021:

Awarded contracts: **16**, totaling **\$123,635,702**

Total DBE commitment: \$19,221,921 (**16%**)

ARCHITECTURAL AND ENGINEERING (A&E)

District 4 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: **3**, totaling **\$12,250,000**

Total DBE commitment: \$2,250,000 (**43%**)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd Quarter State fiscal year has reached a total of **\$136,605,236**.

Small Business (SB) participation **29%**

Disabled Veteran Business Enterprise (DVBE) participation **3%**

Contracts — total awarded: \$127,676,190

SB participation: \$34,813,048 (**27%**)

DVBE participation: \$2,077,811 (**2%**)

Procurement — total awarded: \$8,929,046

SB participation: \$4,181,410 (**47%**)

DVBE participation: \$1,422,391 (**16%**)

LOOK AHEAD REPORTS

Construction: <http://ppmoe.dot.ca.gov/des/oe/contractor-info.html>

A&E: <https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information>

District 4 Small Business Update, May 2021

| LOOK AHEAD CONSTRUCTION PROJECTS (As of May 3, 2021) | | | | |
|--|--|-------------|------------------|----------------|
| Contract Number | Description | SB 1? (Y/N) | Capital Estimate | Advertise Date |
| 04-0K000 | Proposes to Upgrade 27 Curb Ramps with 12 Pedestrian Push Buttons & a new 100-ft Long Sidewalk with ADA Standards. | N | \$989,000 | 07/15/2021 |
| 04-4G080 | Convert Existing HOV Lane to Express Lane PM 11.2/20.1 & Construct New Express Lane PM 20.1/29.3 | N | \$101,000,000 | 08/11/2021 |
| 04-1G43A | Environmental Mitigation & Plant Establishment for Conn Creek Bridge Replacement. | N | \$220,000 | 08/12/2021 |
| 04-4J300 | Pavement Preservation. | Y | \$9,647,000 | 08/20/2021 |

CALMENTOR (Small Business A&E mentoring program District 4)

Next Calmentor Quarterly meeting is scheduled for July 22, 2021 via WebEx.

OUTREACH

- April 13- D4 Caltrans/DGS Procurement Event Pt. 1
- April 20- D4 Small Business Council Meeting
- April 21- D4 Mentor Protégé Program Workshop "Change Orders"
- April 22- D4 Calmentor Quarterly Meeting
- April 27-29- D9's Labor Compliance for Small Business 2-day Virtual Workshop
- May 13- Business Outreach Committee's "How to Do Business with Public Agencies" Workshop
- May 18- D4 Caltrans Procurement Event Pt. 2
- June 15- D4 Small Business Council Meeting

Visit District 4's Small Business website at: <https://dot.ca.gov/caltrans-near-me/district-4/d4-popular-links/d4-small-business-program>

Small Business Program Manager: Ayanna Nobles, ayanna.nobles@dot.ca.gov, 510-908-8681