DISTRICT 5 SMALL BUSINESS UPDATE

Tim Gubbins, District Director, (805) 549-3127

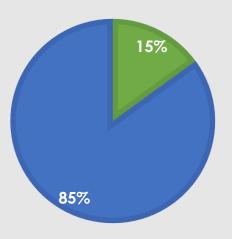


November 2021

District 5 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$62,504,760

■ DBE 15% ■ Non-DBE 85%

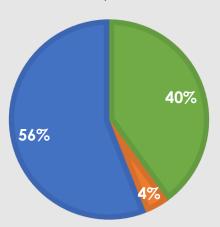


STATE CONTRACTS AND PROCUREMENT TOTAL \$116,828,193

■SB 40%

■ DVBE 4%

■ Non-SB/DVBE 56%



ONGOING CONSTRUCTION CONTRACTS

District 5 has **68** ongoing construction contracts, valued at **\$785,789,890** as of November 3, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 5 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to September 30, 2021:

Awarded contracts: 17, totaling \$62,504,760

Total DBE commitment: \$9,204,314 (**15%**)

ARCHITECTURAL AND ENGINEERING (A&E)

District 5, 6, and 10 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2021 to Current:

Awarded contracts: **0**, totaling **\$0.00**Total DBE commitment: \$0.00 (**0%**)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for State fiscal 2020-21 year has reached a total of \$116,828,193.

Small Business (SB) participation 40%

Disabled Veteran Business Enterprise (DVBE) participation 4%

Contracts — total awarded: \$110,175,975

SB participation: \$44,001,056 (**40%**)

DVBE participation: \$3,816,001 (4%)

Procurement — total awarded: \$6,652,218

SB participation: \$3,014,547 (**45%**)

DVBE participation: \$1,169,889 (**18%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

District 5 Small Business Update, November 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of 11/10/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
05-1F620	Construct Accessible pathway	N	\$ 1,781,000	07/26/2022
05-1F501	Planting & Plant Establishment on Hwy 101 in San Bento Co.	N	\$350,000	10/03/2021
05-1K720	Construct Soldier Pile Wall on Hwy 41 in San Luis Obispo Co.	N	\$ 3,402,000	10/12/2021
05-1C824	Replace Thrie-Beam Barrier on Hwy 101 in Santa Barbara Co.	N	\$15,000,000	11/23/2022

CALMENTOR (Small Business A&E mentoring program District(s) 5, 6 and 10)

Calmentor Event: Central Region Calmentor Program Fall Mixer

Tuesday, November 16, 202; 2:00 pm – 4:00 pm; Virtually via WebEx:

Quarterly Meetings via WebEx are expected in January, April, July and October.

OUTREACH

The permanent District 5 Small Business Liaison position is vacant with no outreach activities currently planned. We are working to quickly fill this vacancy and continue to respond to inquiries, some of which may come as a result of other District's outreach."

DISTRICT DIRECTOR'S REPORT:

District 5 continues to be devoted to contracting to Small Businesses, especially in our Minor B and Emergency Contract programs. In recent months D5 has contracted with the following Small Businesses to accomplish the stated work.

We issued an emergency contract to John Madonna Construction for \$5.7 million to repair damage that Maintenance was unable to handle and install winter preparation measures in response to the Alisal Fire on Highway 101 in Santa Barbara County.

We issued three contracts to Whitaker Construction to address drainage on Highways 1 and 46 in San Luis Obispo County. These contracts totaled \$,623,000.

We issued a contract to Serafix Engineering Contractors in the amount of \$333,000 to address drainage on Highway 1 in Monterey County.

We issued a contract to Central Coast Fencing in the amount of \$245,000 to replace damaged guardrail on Highway 1 in Monterey County.

DISTRICT 6 SMALL BUSINESS UPDATE

Diana Gomez, District Director, (559) 488-4057

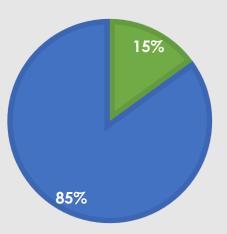


November 2021

District 6 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR
CONSTRUCTION
CONTRACTS
TOTAL \$206,838,284



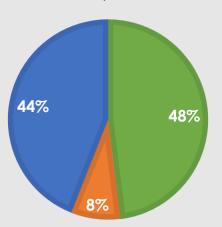


STATE CONTRACTS AND PROCUREMENT TOTAL \$107,214,619



■ DVBE 8%

■ Non-SB/DVBE 44%



ONGOING CONSTRUCTION CONTRACTS

District 6 has **49** ongoing construction contracts, valued at **\$554,512,478** as of November 3, 2021

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 6 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to September 30, 2021:

Awarded contracts: 13, totaling \$206,838,284

Total DBE commitment: \$31,086,913 (15%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 5, 6, and 10 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2021 to Current:

Awarded contracts: 0, totaling \$0.00

Total DBE commitment: \$0.00 (0%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for State fiscal 2020-21 year has reached a total of **\$107,214,619**.

Small Business (SB) participation 48%

Disabled Veteran Business Enterprise (DVBE) participation 8%

Contracts — total awarded: \$96,001,663

SB participation: \$45,606,397 (**48%**)

DVBE participation: \$6,080,140 (6%)

Procurement — total awarded: \$11,212,956

SB participation: \$5,828,232 (**52%**)

DVBE participation: \$1,968,134 (**18%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

LOOK AHEAD CONSTRUCTION PROJECTS (As of 11/4/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
06-0R190	County: Kern Route: Various Intersection Improvement	N	\$2,700,000	11/08/2021
06-0H140	County: Tulare Route: 190 Replace, reline culverts	N	\$2,850,000	12/15/2021
06-1C040	County: Fresno Route: 41 Construction Pedestrian Trail across ramps	N	\$2,100,000	12/15/2021
06-0V120	County: Madera Route: 99 Replace 3 Bridges; Br. No. 41-0065 L/R/S	Y	\$28,600,000	1/11/2022

CALMENTOR (Small Business A&E mentoring program - Districts 5, 6 and 10)

- The Central Region Calmentor Program Fall Mixer is scheduled for Tuesday, November 16, 2021 at 2pm via Webex.
- The next Calmentor monthly meeting is scheduled for Tuesday, November 9, 2021 via Webex. The next Training Session is expected to be held in January 2022.
- Our December Calmentor monthly meeting is scheduled for Tuesday, December 14, 2021 via Webex.
- The next Calmentor Steering Committee meeting is scheduled for Monday, November 8, 2021 via Webex.

OUTREACH

October 7 – D5, 6, 10 A&E On-Call Hazardous Waste Contract Outreach

- Hosted by Central Region Consultant Services Unit
- Speakers included D6 DSBL, DPAC, and Central Region staff

October 12 – D6 A&E On-Call Roadway Design Services Contract Outreach

- Hosted by Central Region Consultant Services Unit
- Speakers included D6 DSBL, DPAC, and Central Region staff

November 17 – D5, 6, 10 A&E Contract Outreach

- Hosted by Division of Engineering Services (DES), Office of Structure Construction
- Speakers will include D6 DSBL, DPAC, and HQ DES staff

Visit District 6's Small Business website at: https://dot.ca.gov/caltrans-near-me/district-6/district-6-programs/d6-small-business

Small Business Liaison: Chloe Doyle, Chloe.Doyle@dot.ca.gov, (559) 908-0269

DISTRICT 7 SMALL BUSINESS UPDATE

Tony Tavares, District Director, (213) 897-0362

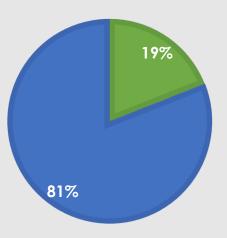


November 2021

District 7 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR
CONSTRUCTION
CONTRACTS
TOTAL \$172,752,150



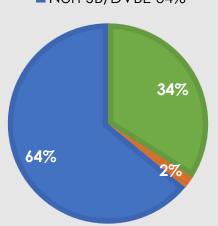


PROCUREMENT TOTAL \$268,209,293

■SB 34%

■ DVBE 2%

■ Non-SB/DVBE 64%



ONGOING CONSTRUCTION CONTRACTS

District 7 has **136** ongoing construction contracts, valued at **\$2,766,003,368** as of November 3, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 7 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to September 30, 2021:

Awarded contracts: 15, totaling \$172,752,150

Total DBE commitment: \$32,324,240 (19%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 7 federally-funded A&E contracts for Federal Fiscal

YTD: October 1, 2021 to Current:

Awarded contracts: **0**, totaling **\$0.00**

Total DBE commitment: \$0.00 (0%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for State fiscal 2020-21 year has reached a total of **\$268,209,293**.

Small Business (SB) participation 34%

Disabled Veteran Business Enterprise (DVBE) participation 2%

Contracts — total awarded: \$257,375,417

SB participation: \$85,171,842 (**33%**)

DVBE participation: \$4,013,010 (2%)

Procurement — total awarded: \$10,833,876

SB participation: \$5,839,135 (**54%**)

DVBE participation: \$1,778,207 (**16%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

District 7 Small Business Update, November 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of 11/10/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
07-32760	Upgrade guardrail and end treatments to current standards(Los Angeles SR-39)	N	\$6,492,000	11/22/2021
07-32520	Hinge and Diaphram Repairs	N	\$8,758,000	12/15/2021
07-33110	Upgrade MBGR and End Treatments (Ventura US-101)	N	\$10,171,000	01/14/2022
	Upgrade MBGR and End Treatments			
07-33900	(Ventura US-101)	N	\$11,556,000	01/14/2021

CALMENTOR- District 12 hosted the Calmentor Virtual Fall Event 2021 on October 28, 2021 in collaboration with District 7 and 8. District 7 will be hosting the next Calmentor event, date TBD.

OUTREACH

October 8- Latino Small Business Roundtable with L.A. Area Chamber of Commerce/ L.A. Metro/ Caltrans District 7

- Hosted by L.A. Metro at Downtown Union Station
- District 7 Director Tony Tavares represented Caltrans
- Discussion Latino participation in government contracting in LA area.

Partnership: Intro with L.A. Latino Chamber of Commerce

October 18- D7 Environmental A&E Contract Outreach,

Hosted by D7 Program Project Management (PPM), Contract Mgr

October 20- Ascend LA Fall Networking Virtual Event (5:30pm)

- Hosted by Los Angeles Area Chamber of Commerce and Loyola Marymount Univ.
- Attendees include, D7 Small Business Liaison, LA County, LA Metro.

October 28- Caltrans Southern California Alliance- Districts 7,8,12 (Calmentor Event)

Hosted by D12

OUTREACH: UPCOMING

December-District 7's Road Tour to Caltrans Series- Construction 2022 Projects (Date TBD)

- Look Ahead 2022 upcoming 2022 Construction Projects
- Meet District 7 Construction Staff for Q&A

December 9- Los Angeles Area Chamber of Commerce Virtual One LA Partnership Event

• District 7 Small Business Liaison presenting 'How to work with Caltrans'

January 10- #07-307101 Mandatory Pre-Bid for Construction Management

In planning

DISTRICT 8 SMALL BUSINESS UPDATE

Michael Beauchamp, District Director, (909) 383-4055

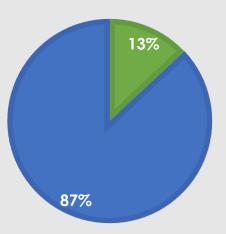


November 2021

District 8 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$540,438,369



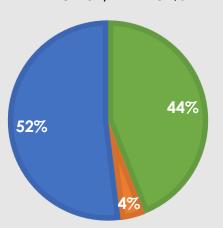


STATE CONTRACTS AND PROCUREMENT TOTAL \$157,202,616



■ DVBE 4%

■ Non-SB/DVBE 52%



ONGOING CONSTRUCTION CONTRACTS

District 8 has **65** ongoing construction contracts, valued at **\$1,199,392,241** as of November 3, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 8 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to September 30, 2021:

Awarded contracts: 17, totaling \$540,438,369

Total DBE commitment: \$70,172,613 (13%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 8 federally-funded A&E contracts for Federal Fiscal

YTD: October 1, 2021 to Current:

Awarded contracts: 0, totaling \$0.00

Total DBE commitment: \$0.00 (0%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for State fiscal 2020-21 year has reached a total of **\$157,202,616**.

Small Business (SB) participation 44%

Disabled Veteran Business Enterprise (DVBE) participation 4%

Contracts — total awarded: \$121,773,880

SB participation: \$43,546,989 (**36%**)

DVBE participation: \$5,181,976 (4%)

Procurement — total awarded: \$35,428,736

SB participation: \$25,715,097 (**73%**)

DVBE participation: \$821,090 (**2%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

District 8 Small Business Update, November 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of 11/10/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
08-1K4604	On I-215 in Riverside County and near the City of Riverside from Alessandro Blvd. overcrossing to Eucalyptus Ave. overcrossing to construct northbound and southbound auxiliary lanes.	N	\$5.1 M	11/15/2021
08-0R1414	On SR-40 in San Bernardino County near the City of Needles from Essex Rd. overcrossing to Homer Wash Br. To regrade median cross slope.	N	\$16.6 M	11/19/2021
08-0R3024	On SR-111 in Riverside County in the City of Palm Springs from Gateway Dr. to Golf Club Dr. to construct & reconstruct curb ramps.	N	\$4.2 M	12/30/2021
08-0G6914	On SR-18 in San Bernardno County near Arrowhead Springs from 48th St. to SR-138 to repair, reline or replace existing culverts.	Y	\$6.6 M	3/1/2022

CALMENTOR (Small Business A&E mentoring program District[s] 7, 8, and 12) The fall event was held on October 28, 2021.

Next Calmentor is TBD

OUTREACH

Past Events

- September 8, 2021 D8 was the guest presentor for the virtual Inland Empire Small Business
 Development Center DBE/SBE Certification series discussing Caltrans recognized
 certifications and how to obtain them.
- September 15, 2021 Special Virtual Pre-Bid Conference was held for Design-Build Project 08-1C0814.
- September 22, 2021- Virtual Mandatory Pre-bid meetings was held for **08-1G5204**. Project 08-1G5204 has a DVBE participation incentive up to \$250,000.
- October 6, 2021 Virtual Mandatory Pre-Bid Meeting was held for **08-1H8304**.
- October 22, 2021 Virtual Mandatory Pre-Bid Meeting was held for 08-1J2104. Project 08-1J2104 has a DVBE participation incentive up to \$250,000.

Upcoming Event(s)

• December 15, 2021 - Moreno Valley - Caltrans' District 8 and District 11 will have an exhibitors booth at the in-person – Department of General Services (DGS) 10th Annual Veteran's Summit from 9 a.m. to 4 pm.