DISTRICT 1 SMALL BUSINESS UPDATE

Matthew Brady, District Director, (707) 445-6445

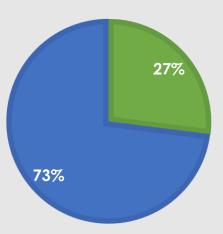


September 2021

District 1 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$67,453,565



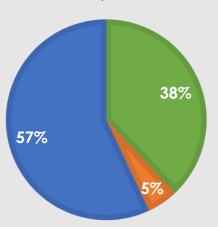


STATE CONTRACTS AND PROCUREMENT TOTAL \$36,302,615



■ DVBE 5%

■ Non-SB/DVBE 57%



ONGOING CONSTRUCTION CONTRACTS

District 1 has 60 ongoing construction contracts, valued at **\$417,387,077** as of September 1, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 1 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to July 31, 2021:

Awarded contracts: 10, totaling \$67,453,565

Total DBE commitment: \$18,091,241 (27%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 8, totaling \$61,000,000

Total DBE commitment: \$10,059,000 (15%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd Quarter State fiscal year 2020-2021 has reached a total of **\$36,302,615**.

Small Business (SB) participation 38%

Disabled Veteran Business Enterprise (DVBE) participation 5%

Contracts — total awarded: \$33,274,334

SB participation: \$12,477,797 (**38%**)

DVBE participation: \$1,784,112 (5%)

Procurement — total awarded: \$3,028,281

SB participation: \$1,203,968 (**40%**)

DVBE participation: \$84,971 (**3%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

LOOK AHEAD CONSTRUCTION PROJECTS (As of 09/10/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
01-0C110	Humboldt 101 Materials Lab Construction	N	\$11,012,000	10/27/21
01-0F760	Del Norte 101 Idlewild Maintenance Station Rehabilitation	N	\$5,955,000	12/13/21
01-43480	Mendocino 1 Pudding Creek Bridge Widening & Rail Upgrade	N	\$7,325,000	12/15/21
01-0H780	Mendocino 271 McCoy Creek Storm Damage Repair	N	\$4,301,000	01/17/22

CALMENTOR (Small Business A&E mentoring program Districts 1, 2, and 3)

- A North Region Calmentor A&E WebEx Event was held on 09/16/21.
- Our Next Calmentor Steering Committee meeting will be held on 10/20/21 via WebEx.

OUTREACH

- August 4, 2021 "An Introduction to The Small Business Program"
 - Presented the above content in conjunction with OCR as part of our "Paving Your Road to Success with Caltrans" series. There are 3 more presentations left in the initial run of this program.
 - Registration for remaining installments at <u>HTTPS://TINYURL.COM/CTSUCCESS21</u>
- August 17 & 19, 2021 Government Contracting Opportunities Workshops
 - Caltrans North Region Small Business Programs partnered with NorCal PTAC, DGS, & SBA to host two virtual workshops for small businesses seeking to grow via state and federal contracting.
 - Attendees learned how to navigate agency websites to find contracting & bidding opportunities and get their bid process questions answered by subject matter experts.
- November 16 18, 2021 North Region Virtual Procurement Fair
 - This online series will connect our region's small business community directly with Caltrans purchasers from a variety of divisions with diverse procurement needs.
 - Attendees will learn about the types of goods and services Caltrans purchases, upcoming procurement opportunities, as well as the ins and outs of working with the department and the state in general via workshops.
 - In addition, attendees will enjoy a 90-day period of purchasing preference after the fair, receiving priority over other vendors when first requesting bids for goods and services.

DISTRICT 2 SMALL BUSINESS UPDATE

Dave Moore, District Director, (530) 225-3477

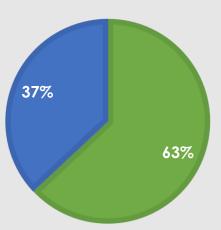


September 2021

District 2 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$11,977,420



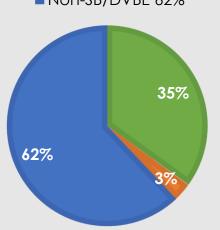


PROCUREMENT TOTAL \$63,305,464

■ SB 35%

■ DVBE 3%

■ Non-SB/DVBE 62%



ONGOING CONSTRUCTION CONTRACTS

District 2 has **42** ongoing construction contracts, valued at **\$377,451,600** as of September 1, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 2 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to July 31, 2021:

Awarded contracts: 1, totaling \$11,977,420

Total DBE commitment: \$7,599,901 (63%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 8, totaling \$61,000,000

Total DBE commitment: \$10,059,000 (15%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd Quarter State fiscal year 2020-2021 has reached a total of **\$63,305,464**.

Small Business (SB) participation 35%

Disabled Veteran Business Enterprise (DVBE) participation 3%

Contracts — total awarded: \$59,042,014

SB participation: \$19,751,816 (**34%**)

DVBE participation: \$1,699,995 (**3%**)

Procurement — total awarded: \$4,263,450

SB participation: \$2,095,938 (**49%**)

DVBE participation: \$262,757 (**6%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

LOOK AHEAD CONSTRUCTION PROJECTS (As of 09/03/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
02-1H510	Bridge replacement near Los Molinos in Tehama County	Υ	\$3,720,000	07/12/2021
02-4F520	Improve clear recovery zone at various locations in Siskiyou County	N	\$3,771,000	08/02/2021
02-1H720	ADA improvements in Redding, Shasta County	N	\$2,580,000	10/28/2021
02-2H810	Culvert rehabilitation in Trinity County	Υ	\$4,053,000	11/09/2021

CALMENTOR (Small Business A&E mentoring program Districts 1, 2 and 3)

- A Calmentor North Region A&E WebEx Event was held on 09/16/21
- Our Next Calmentor Steering committee meeting will be held on 10/20/21 via WebEx

OUTREACH

- **09/08/2021** Presented "Selling Goods and Services to Caltrans" in collaboration with OCR as part of our "Paving Your Road to Success with Caltrans WebEx Series" There are **3** more presentations left in this series.
- Registration Link: https://TINYURL.COM/CTSUCCESS21
- 10/07/2021 Participating as an exhibitor hosting a virtual booth for the 2021 Virtual Insurance Diversity Summit Resource Expo:
- Registration Link: https://whova.com/web/aids1-202110/
- 11/16/2021 11/18/2021 Districts 1,2, & 3 will be hosting our 4th annual North Region Procurement Fair, this will be a WebEx event:
 - ❖ Learn about how Caltrans sources and purchases goods and services, find upcoming bid opportunities, and network with purchasers and advocates from Caltrans and other state agencies.
 - ❖ Attendees will also enjoy a 90-day period of purchasing preference, from our purchasers in districts 1,2 & 3 after attending the fair.
- Registration Link: <u>conta.cc/3z2G7NA</u>
- Please be sure to view our Caltrans Outreach Event Calendar_ https://dot.ca.gov/programs/civil-rights/caltrans-events-calendar for more upcoming outreach events.

DISTRICT 3 SMALL BUSINESS UPDATE

Amarjeet Benipal, District Director, (530) 741-4233

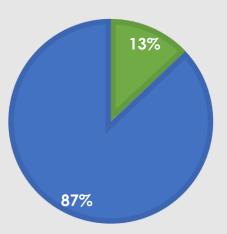


September 2021

District 3 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR CONSTRUCTION CONTRACTS TOTAL \$97,003,568



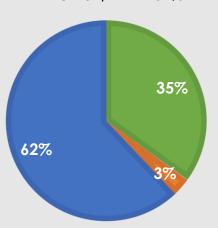


STATE CONTRACTS AND PROCUREMENT TOTAL \$56,422,314



■ DVBE 3%

■ Non-SB/DVBE 62%



ONGOING CONSTRUCTION CONTRACTS

District 3 has **87** ongoing construction contracts, valued at **\$1,561,010,236** as of September 1, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 3 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to July 31, 2021:

Awarded contracts: 14, totaling \$97,003,568

Total DBE commitment: \$13,050,419(**13%**)

ARCHITECTURAL AND ENGINEERING (A&E)

District 1, 2, and 3 federally-funded A&E contracts for Federal Fiscal YTD: October 1, 2020 to Current:

Awarded contracts: 8, totaling \$61,000,000

Total DBE commitment: \$10,059,000 (15%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd Quarter State fiscal year 2020-2021 has reached a total of **\$56,422,314**.

Small Business (SB) participation 35%

Disabled Veteran Business Enterprise (DVBE) participation 3%

Contracts — total awarded: \$48,008,584

SB participation: \$14,441,572 (**30%**)

DVBE participation: \$534,279 (1%)

Procurement — total awarded: \$8,413,730

SB participation: \$5,311,487 (**63%**)

DVBE participation: \$1,284,821 (**15%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

LOOK AHEAD CONSTRUCTION PROJECTS (As of 09/07/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
03-3F060	Glenn Co – Replace Sacramento River Bridge in Butte City, from Route 45 to 0.1 mile east of McDougall Street.	Y	\$70,602,000	09/13/21
03-1H240	Placer Co – Realign roadway and construct roundabout in Auburn from 0.1 mile South of the intersection of Route 49 and Lincoln Way/Borland Avenue to Lincoln Way.	Ν	\$4,745,000	09/24/21
03-0J860	Sierra Co – Repair damaged wildlife fence about 6.4 miles North of Truckee from 0.5 mi north of Sage Hen Rd to 0.2 mi south of Henness Pass Rd.	N	\$807,000	10/18/21
03-1J500	Placer Co – Install ramp metering on I-80 in Roseville at the EB on ramp from Auburn Blvd.	N	\$338,000	11/15/21

CALMENTOR (Small Business A&E mentoring program – Districts 1, 2, and 3)

- A Calmentor North Region A&E Event was held on September 16, 2021 via WebEx.
- Next Calmentor Steering Committee meeting will be held on October 20, 2021 via WebEx

OUTREACH

- **August 17 & 19, 2021** North Region Finding Government Contracting Opportunities Virtual Event We had 60 attendees at the Caltrans & State Government event on August 17th and 31 attendees at the Federal Government event on August 19th.
- August 28, 2021 Florin Road Community Development Center's Small Business Success Summit – District 3 hosted a booth and participated in the "Government Contracting" panel discussion at this event.
- August 31, 2021 Minor Project Construction Forum District 3 held a virtual meeting to highlight the upcoming 2021-2022 Minor Program Construction Projects.
- **September 20-23, 2021** Caltrans District 3 will participate in SMUD's Meet the Buyers virtual event with a booth, as well as participating in the "Bringing Government Contracting to You" panel discussion on September 22nd at 8am.
- October 12, 2021 Mandatory Pre-Bid for Butte City Bridge Replacement on Glenn 45 (03-3F060). This project is estimated at \$70,602,000 with a 15% DBE Requirement.
- October 19, 2021 Quarterly SacPAC Forum Caltrans will partner with Sacramento Municipal Utilities District (SMUD), Dept. of General Services (DGS), Sacramento County and the Small Business Administration (SBA) to provide a virtual forum on how to find upcoming contracting opportunities with government agencies.
- October 28, 2021 Mandatory Pre-Bid for Wildlife Fence Repair on Sierra 89 (03-0J860). This project is estimated at \$807,000.
- November 16-18, 2021 North Region 4th Annual Procurement Fair –This virtual event will
 provide a variety of opportunities for small businesses to connect with our procurement
 officers and provide informational sessions on procurement methods and contract
 opportunities. Register at: https://conta.cc/3z2G7NA

Visit District 3's Small Business website at: https://dot.ca.gov/caltrans-near-me/district-3/d3-popular-links/d3-small-business

DISTRICT 4 SMALL BUSINESS UPDATE

Dina El-Tawansy, District Director, (510) 286-5906

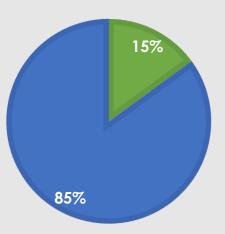


September 2021

District 4 DBE, SB, and DVBE Participation at a glance:

FEDERAL MAJOR
CONSTRUCTION
CONTRACTS
TOTAL \$250,025,618



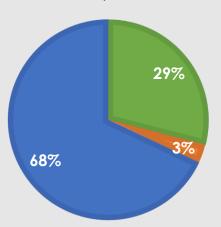


PROCUREMENT TOTAL \$136,605,236



■ DVBE 3%

■ Non-SB/DVBE 68%



ONGOING CONSTRUCTION CONTRACTS

District 4 has **129** ongoing construction contracts, valued at **\$1,867,754,634** as of September 1, 2021.

FEDERALLY-FUNDED CONTRACTS:

MAJOR CONSTRUCTION

District 4 federally-funded major construction contracts for Federal Fiscal YTD: October 1, 2020 to July 31, 2021:

Awarded contracts: 26, totaling \$250,025,618

Total DBE commitment: \$37,240,782 (15%)

ARCHITECTURAL AND ENGINEERING (A&E)

District 4 federally-funded A&E contracts for Federal Fiscal

YTD: October 1, 2020 to Current:

Awarded contracts: 4, totaling \$20,250,000

Total DBE commitment: \$7,450,000 (48%)

STATE-FUNDED CONTRACTS AND PROCUREMENT:

Contracting and procurement awards for 2nd Quarter State fiscal year 2020-2021 has reached a total of **\$136,605,236**.

Small Business (SB) participation 29%

Disabled Veteran Business Enterprise (DVBE) participation 3%

Contracts — total awarded: \$127,676,190

SB participation: \$34,813,048 (**27%**)

DVBE participation: \$2,077,811 (2%)

Procurement — total awarded: \$8,929,046

SB participation: \$4,181,410 (**47%**)

DVBE participation: \$1,422,391 (**16%**)

LOOK AHEAD REPORTS

Construction: http://ppmoe.dot.ca.gov/des/oe/contractor-info.html

District 4 Small Business Update, September 2021

LOOK AHEAD CONSTRUCTION PROJECTS (As of 09/01/2021)				
Contract Number	Description	SB 1? (Y/N)	Capital Estimate	Advertise Date
04-1G43A	Environmental mitigation & plant establishment for Conn Creek Bridge replacement.	N	\$220,000	11/15/2021
04-0AA44	Maintain existing planted area to extend plant establishment for mitigation planting.	N	\$456,000	10/4/2021
04-0J620	Roadway Rehabilitation.	Υ	\$68,400,000	10/31/2021
04-26472	Convert existing HOV lane to Express Lane PM 11.2/20.1 & const new Express lane PM 20.1/29.3.	Y	\$92,652,000	11/15/2021

CALMENTOR (Small Business A&E mentoring program District 4)

Next Calmentor Quarterly meeting is scheduled for October 28, 2021 via WebEx.

OUTREACH

- September 23- D4 Mandatory Pre-Bid Meeting Project 04-0J6201 Ala-680 N Rehab Project
- September 28- D4 "How to Make Your Certification Work for You" Presentation
- October 19- D4 SBC District Meeting
- October 21 BOC Meet The Primes Professional Services
- April 2022- Small Business Construction Expo