# Historic Property Survey ReportforName of projectCounty, Route Post Mile

**E-Fis Project Number, Phase** *[or Local Assistance Funding Source, Federal-Aid Project Number and Location]*

Prepared by \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name Date

[PQS discipline/level and discipline or appropriate consultant PQS discipline]

[Caltrans or consultant address]

Reviewed for
approval by \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name Date

[PQS discipline/level and discipline]

[Caltrans address]

Approved by \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name Date

[District Environmental Branch name]

[Caltrans address]

Date

The environmental review, consultation, and any other actions required by applicable Federal environmental laws for this project are being, or have been, carried out by Caltrans pursuant to 23 U.S.C. 327 and the Memorandum of Understanding dated May 27, 2022 and executed by FHWA and Caltrans. *Delete this section if project is being processed as a Categorical Exclusion under 23 USC 326. Confirm with project generalist if unsure.*

Historic Property Survey Report

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The studies for this undertaking were carried out in a manner consistent with Caltrans’ regulatory responsibilities under Section 106 of the National Historic Preservation Act (36 CFR Part 800) and pursuant to the *2024* Programmatic Agreement among the Federal Highway Administration, the Advisory Council on Historic Preservation, the California State Historic Preservation Officer, the United States Army Corps of Engineers’ Sacramento District, San Francisco District, and Los Angeles District, and the California Department of Transportation Regarding Compliance with Section 106 of the National Historic Preservation Act as it pertains to the Federal-Aid Highway Act in California (Section 106 PA) as well as under Public Resources Code 5024 and pursuant to the 2024 Memorandum of Understanding Between the California Department of Transportation and the California State Historic Preservation Office Regarding Compliance with Public Resources Code Section 5024 and Governor’s Executive Order W-26-92 (5024 MOU), as applicable. Delete reference to 5024 MOU if not applicable.

[Normal paragraph text paragraph style “Normal” and is Calibri 12 point, left-aligned, ragged right with widow/orphan control and 12 points following the paragraph. Do not add hard returns by pressing enter twice. Headers are Arial font in various points. For headers, use paragraph styles “Heading 2, Heading 2 HPSR;” “Heading 3,Heading 3 HPSR,” and “Heading 4,Heading 4HPSR.”]

[Name of Project] Historic Property Survey Report

## Undertaking Description and Location

Provide county, route, post mile and E-FIS project number or local assistance funding source, Federal-Aid project number, and location.

## Area of Potential Effects

Describe APE.

In accordance with Section 106 PA Stipulation VIII.A, the Area of Potential Effects (APE) for the project was established in consultation with [Name of Caltrans PQS and PQS discipline/level], and [Name of Caltrans project manager/local assistance engineer], Project Manager/Local Assistance Engineer, on [date]. The APE maps are located in [specify technical study, figure or exhibit number].

The APE was established as [add brief description as to where and how boundaries were set. Include both vertical and horizontal boundaries].

## Consulting Parties / Public Participation

Describe efforts. Include names, dates, and locations and results of contacts, as appropriate. List organizations/persons contacted (e.g., Local Government, Head of local government, Preservation Office / Planning Department; Native American Tribes, Groups and Individuals; Native American Heritage Commission; Local Historical Society / Historic Preservation Group). Also if applicable, Public Information Meetings (list locations, dates below and attach copies of notices) and attach correspondence and summarize verbal comments received as appropriate. Reference appendix that contains consultation logs.

## Summary of Identification Efforts

Summarize survey efforts. Include National Register of Historic Places, California Register of Historical Resources, California Inventory of Historic Resources, California Historical Landmarks, California Historical Resources Information System (CHRIS), Caltrans Historic Bridge Inventory, Caltrans Cultural Resources Database (CCRD). List names of Institution(s) such as historical societies, city archives, etc, & date(s) of visits. Provide a brief summary of research results as well as inventory findings.

### Record Searches and Sources Consulted

### Results

## Properties Identified

Describe cultural resources in APE. List properties or refer reader to appropriate technical study attached. Identify State-owned resources as such and state whether they are on the Master List of Historical Resources. Do not include properties that are outside the APE. Attach previous SHPO determinations, as applicable. Include the following and delete statements below that are not applicable:

**No cultural resources are present within the APE.**

[Name of Caltrans or consultant architectural historian or archaeologist], who meets the Professionally Qualified Staff (PQS) Standards in Section 106 PA Appendix 1 as a(n) [Indicate applicable PQS level], has determined that the only/only other properties present within the APE meet the criteria for Section 106 PA Appendix 4 (**Properties Exempt from Evaluation**).

Caltrans, in accordance with Section 106 PA Stipulation VIII.C.5 has determined there are cultural resources within the APE that were **previously determined not eligible** for inclusion in the NRHP with SHPO concurrence and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List resources below and indicate if State-owned.)

Bridges listed as **Category 5** (previously determined not eligible for listing in the NRHP) in the Caltrans Historic Bridge Inventory are present within the APE and those determinations remain valid. Appropriate pages from the Caltrans Historic Bridge Inventory are attached. (List bridge numbers below)

Caltrans has determined there are cultural resources within the APE that were evaluated as a result of this project and are **not eligible** for inclusion in the NRHP. Under Section 106 PA Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. (List resources below and indicate if State-owned.)

The following archaeological sites within the APE are **considered eligible** for inclusion in the NRHP for the purposes of this project only because they will be protected in their entirety from any potential effects through the establishment of an Environmentally Sensitive Area (ESA), in accordance with Section 106 PA Stipulation VIII.C.3. See attached documentation. (List properties below and indicate if State-owned.)

The following properties within the APE are **considered eligible** for inclusion in the NRHP for the purposes of this project only because evaluation was not possible or the properties will be protected from adverse effects through the establishment of a Vegetation Management ESA (VMESA), in accordance with Section 106 PA Stipulation VIII.C.4. (Requires written CSO approval prior to completion of the HPSR; include as attachment. List properties below, indicate if State-owned; attach CSO correspondence.)

Caltrans, in accordance with Section 106 PA Stipulation VIII.C.5, has determined there are properties within the APE that were **previously determined eligible** for inclusion in the NRHP and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List properties below; indicate if State-owned and whether they are on the Master List of Historical Resources; include date of listing or determination.)

Caltrans has determined there are properties within the APE that were evaluated as a result of this project and are **eligible** for inclusion in the NRHP. Under Section 106 PA Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. (Include the following statement if applicable; delete if not:) Pursuant to PRC 5024(d), Caltrans also requests that SHPO add these resources to the Master List of Historical Resources. (List properties below and indicate if State-owned and whether they need to be added to the Master List of Historical Resources.)

## Finding for the Undertaking

Check **ONLY ONE** overall finding for the project. Delete all findings that are not applicable and this instruction line.

Caltrans, pursuant to Section 106 PA Stipulation IX.A, has determined a Finding of **No Historic Properties Affected** is appropriate for this undertaking because there are no historic properties within the APE **/** the following historic properties will not be affected. (Choose one or the other of the above statements. If there are previously listed/eligible historic properties within the APE, explain why they would not be affected.)

Caltrans, pursuant to Section 106 PA Stipulation IX.B, has determined that there are historic properties within the APE that may be affected by the undertaking. **Effects are still undetermined**, so in accordance with Section 106 PA Stipulation X, Caltrans will continue consultation with CSO and/or SHPO in the future on the assessment of effects.

Caltrans, pursuant to Section 106 PA Stipulation X.B.1.a/b/c and Appendix 5, has determined a **Finding of No Adverse Effect with Standard Conditions**-[select one or more: ESA, VMESA and/or SOIS], is appropriate for this undertaking, and requests CSO’s approval of this finding. [Name], who meets the PQS Standards in Section 106 PA Appendix 1 as a(n) [Indicate applicable PQS level], has reviewed the attached documentation and determined that it is adequate.

Caltrans, pursuant to Section 106 PA Stipulation X.B.2, has determined a **Finding of No Adverse Effect (without Standard Conditions)** is appropriate for this undertaking, and requests SHPO’s concurrence in this determination.

Caltrans, pursuant to Section 106 PA Stipulation X.C, has determined a **Finding of Adverse Effect** is appropriate for this undertaking, and requests SHPO’s concurrence in this determination.

## CEQA Considerations

Consultation with SHPO is not required under CEQA. Delete findings that are not applicable and this instruction line. Discuss potential impacts to historic resources with PDT to determine appropriate impact findings for the environmental document.

Not applicable; **Caltrans is not the lead agency under CEQA**.

Caltrans PQS has determined there are **No Historical Resources** present, as outlined in CEQA Guidelines 15064.5(a).

Caltrans PQS has determined that there are resources in the project area that are **not significant resources** under CEQA; see Section 1.5.

Caltrans PQS has determined that there are resources that **do NOT meet NRHP criteria, but ARE historical resources for the purposes of CEQA.** (List the resources and how they were determined a CEQA resource).

Caltrans PQS has determined that there are resources in the project area that **are historical resources** for the purposes of CEQA; see Section 1.5.

## Attached Documentation

Provide description of attached documentation including for each technical report or study: title, author, date, peer reviewer and date of the technical report.

* Project Vicinity, Location, and APE Maps [note which attachment(s) contains the maps.]
* California Historic Bridge Inventory sheet
* Historical Resources Evaluation Report (HRER)
* Archaeological Survey Report (ASR)
* Extended Phase One Report (XPI)
* Archaeological Evaluation Report (AER, PII)
* Environmentally Sensitive Area (ESA) Action Plan
* Secretary of the Interior’s Standards for the Treatment of Historic Properties (SOIS) Action Plan
* CSO Approval of Assumption of Eligibility
* Finding of Effect (FOE)
* Agreement Document (PA, MOA)
* Other [Specify]