

Exhibit 2.17: Required Copies and Required Reviews of Cultural Resources Documents

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Table A: Section 106 and State-Only Documents

DOCUMENT	COPIES TO CSO	COPIES DIRECTLY TO SHPO
HPSR (with evaluated properties)	1	1
HPSR (with no evaluated properties)	0	0
Supplemental HPSR (with evaluated properties)	1	1
Finding of No Historic Properties Affected (If prepared separately from HPSR)	1	1*
HPSR (with Finding of No Adverse Effect with Standard Conditions)	1	0
Finding of No Adverse Effect without Standard Conditions for Section 106 project	2	0
Finding of Adverse Effect for Section 106 project	2	0
Draft MOA	2	0
HRCR (with Caltrans-owned resources evaluated)	1	1
HRCR (with no Caltrans-owned resources evaluated)	0	0
Finding of No Historic Properties Affected (If prepared separately from HRCR)	1	1*
HRCR (with Finding of No Adverse Effect with Standard Conditions)	1	0
Finding of No Adverse Effect for state-only project (resources Not On the Master List)	1	0
Finding of No Adverse Effect for state-only project (resources On the Master List)	2	0
Finding of Adverse Effect for state-only project (resources Not On the Master List)	1	0
Finding of Adverse Effect for state-only project (resources On the Master List)	2	0

*Only send a copy to SHPO when in consultation about eligibility of properties.

Table B: Required Copies of Archaeological Documents*

Proposals

DOCUMENT	COPIES TO CSO	COPIES TO INFO CENTER	COPIES TO CONSULTING PARTIES (TRIBES, ETC.)	COPIES DIRECTLY TO SHPO	COPIES TO PERMITTING AGENCIES (COE, USFS, ETC.)
Extended Phase I	-	-	#	-	1
Phase II (Archaeological Evaluation)	-	-	#	-	1
Phase III (Data Recovery Plans, Treatment Plans, or Mitigation Proposals)	1*	-	#	-	1

Reports

DOCUMENT	COPIES TO CSO	COPIES TO INFO CENTER	COPIES TO CONSULTING PARTIES (TRIBES, ETC.)	COPIES DIRECTLY TO SHPO	COPIES TO PERMITTING AGENCIES (COE, USFS, ETC.)
DPR 523 Record forms	1*	2	#	1*	1*
ASR	1*	1	#	1*	1*
Extended Phase I	1*	1	#	1*	1*
Phase II (Archaeological Evaluation)	1*	1	#	1*	1*
Phase III (Data Recovery, Final Excavation, or Mitigation Reports)	1	1	#	1	1

*Include copies as attachments to management documents (HPSR or Findings of Adverse Effect).

#Send copies to appropriate groups as requested.

Table C: Required Reviews for Cultural Resource Documents

DOCUMENT	COMPLIANCE TYPE	PEER REVIEW CALTRANS STAFF PQS LEVEL	REVIEW FOR APPROVAL CALTRANS STAFF PQS LEVEL	FINAL APPROVAL
Screened Undertaking or Screened Project Memo	Satisfies Section 106; Satisfies PRC 5024; Supports CEQA finding	Lead ¹ and above, AH ² and above	Not required	Not Required
ASR - No properties identified	Section 106 Finding; PRC 5024 Finding; CEQA supporting document	Co PI ³ (Prehistoric or Historical Archaeology) and above	Co-PI (Prehistoric or Historical Archaeology) and above	District or CSO EBC
ASR - Properties identified	Section 106 supporting; document, PRC 5024 supporting document; CEQA supporting document	Co PI (Prehistoric or Historical Archaeology) and above	Co-PI (Prehistoric or Historical Archaeology) and above	District or CSO EBC
Letter Report- exempt properties	Section 106 Finding when 106 PA does not apply	Co-PI and above and/or PAH ⁴ , as appropriate	Co-PI and above or PAH, as appropriate	District or CSO EBC
AER - Eligibility determination (eligible or not eligible)	Section 106 supporting document; PRC 5024 supporting document; CEQA supporting document	PI (Prehistoric Archaeology)	PI (Prehistoric Archaeology)	District or CSO EBC

*Attached reports and studies must be peer reviewed and approved by appropriate Caltrans PQS at the Principal Investigator (Prehistoric Archaeology, Historical Archaeology) or Principal Architectural Historian level

Table C is continued on the following pages.

¹ Lead Archaeological Surveyor
² Architectural Historian
³ Co-Principal Investigator
⁴ Principal Architectural Historian

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
HRER - Historical archaeology, Eligibility determination (eligible or not eligible)	Section 106 supporting document; PRC 5024 supporting document; CEQA supporting document	PI (Historical Archaeology)	PI (Historical Archaeology)	District or CSO EBC
HRER - Built environment (eligible or not eligible)	Section 106 supporting document; PRC 5024 supporting document; CEQA supporting document	PAH	PAH	District or CSO EBC
ESA Action Plan	Used in Section 106 consultation, supports FNAE-SC; Used in PRC 5024 consultation, supports FNAE-SC; Supports CEQA Findings	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CSO EBC
XPI Proposal and Report	Used in Section 106 consultation; Used in PRC 5024 consultation; Supports CEQA Findings	PI (Prehistoric or Historical Archaeology, as appropriate)	PI (Prehistoric or Historical Archaeology, as appropriate)	District or CSO EBC
Phase II Proposal and Report	Used in Section 106 consultation; Used in PRC 5024 consultation; Supports CEQA Findings	PI (Prehistoric or Historical Archaeology, as appropriate)	PI (Prehistoric or Historical Archaeology, as appropriate)	District or CSO EBC
Phase III - (Data Recovery) Proposal and Plan	Used in Section 106 consultation; Used in PRC 5024 consultation; Supports CEQA Findings	PI (Prehistoric or Historical Archaeology, as appropriate)	PI (Prehistoric or Historical Archaeology, as appropriate)	District or CSO EBC
No Adverse Effect Finding w/Standard Conditions	Section 106 Finding; PRC 5024 Finding; Supports CEQ finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CSO EBC
No Adverse Effect Finding without Standard Conditions	Section 106 Finding; PRC 5024 Finding; Supports CEQA Findings	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CSO EBC

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
Finding of Adverse Effect	Section 106 Finding; PRC 5024 Finding; Supports CEQA Findings	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CSO EBC
Memorandum of Agreement	Section 106 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District Director
HPSR - No cultural resources in the APE	Section 106 Finding	Lead and above, AH and above	Lead and above, AH and above	District or CSO EBC
HPSR - Resources Exempt from Evaluation	Section 106 Finding	Co-PI and above, AH and above	Co-PI and above, AH and above	District or CSO EBC
HPSR - Resources previously evaluated as not eligible for the NRHP	Section 106 Finding	Lead and above, AH and above	Lead and above, AH and above	District or CSO EBC
HPSR - No Historic Properties Affected: NRHP listed/eligible properties are within the APE	Section 106 Finding	PI and/or PAH, as appropriate	PI and/or PAH, as appropriate	District or CSO EBC
HPSR - No Adverse Effect with Standard Conditions	Summary document Section 106 Finding	* PI and/or PAH, as appropriate	* PI and/or PAH, as appropriate	District or CSO EBC
HPSR - Resources in the APE required evaluation, both eligible and not eligible resources	Summary document Section 106 Finding SHPO consultation	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC
HRCR - No cultural resources in the PAL	Summary document PRC 5024 Finding; Supports CEQA Finding	Lead and above, AH and above	Lead and above, AH and above	District or CSO EBC
HRCR - Resources Exempt from Evaluation	Summary document PRC 5024 Finding; Supports CEQA Finding	Co-PI and above, AH and above	Co-PI and above, AH and above	District or CSO EBC
HRCR - Resources in PAL required evaluation, both eligible and not eligible resources, but no evaluated resources are Caltrans- owned	Summary document Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
HRCR - Resources in PAL required evaluation, both eligible and not eligible resources, and include Caltrans-owned resources that were evaluated.	Summary document, SHPO consultation PRC 5024 Finding; Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC
HRCR - PAL contains resources previously evaluated as not eligible for the NRHP, CHL, CRHR or as a historical resource under CEQA	Summary document Supports CEQA Finding	Lead and above, AH and above	Lead and above, AH and above	District or CSO EBC
HRCR - Historical resources are within the PAL and none are state-owned	Summary document Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC
HRCR - No Impact to Historical Resources / No Effect: PAL contains historical resources, including state-owned historical resources	Summary document PRC 5024 Finding; Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC
HRCR - No Substantial Adverse Change: PAL contains historical resources and none of the historical resources are state-owned	Summary document Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC
HRCR - No Adverse Effect with Standard Conditions, Standard Conditions apply to state-owned historical resources	Summary document PRC 5024 Finding; Supports CEQA Finding	* PI and/or PAH, as appropriate	* PI and/or PAH, as appropriate	District or CSO EBC
HRCR No Substantial Adverse Change / No Adverse Effect: PAL contains historical resources and include no adversely affected state-owned historical resources	Summary document PRC 5024 Finding; SHPO consultation possible; Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC

Document	Compliance Type	Peer review Caltrans Staff PQS Level	Review for Approval Caltrans Staff PQS Level	Final Approval
HRCR - Substantial Adverse Change: PAL contains historical resources are and none of the historical resources are state-owned	Summary document Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC
HRCR - Substantial Adverse Change / Adverse Effect: PAL contains historical resources are and include adversely affected state-owned historical resources	Summary document PRC 5024 Finding; SHPO consultation possible; Supports CEQA Finding	* Lead and above, AH and above	* Lead and above, AH and above	District or CSO EBC

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NRHP = National Register of Historic Places

CRHR = California Register of Historical Resources

CHL = California Historical Landmark